



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/077,405	02/15/2002	Wilfrid LeBlanc	13297US01	4140

23446 7590 08/18/2006

MCANDREWS HELD & MALLOY, LTD  
500 WEST MADISON STREET  
SUITE 3400  
CHICAGO, IL 60661

EXAMINER

WONG, WARNER

ART UNIT PAPER NUMBER

2616

DATE MAILED: 08/18/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>		<b>Applicant(s)</b>	
	10/077,405		LEBLANC, WILFRID	
	<b>Examiner</b>		<b>Art Unit</b>	
	Warner Wong		2616	

**– The MAILING DATE of this communication appears on the cover sheet with the correspondence address –  
Period for Reply**

**A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.**

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 13 June 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1-6 and 9-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Duault (6,912,224) in view of Yao (6,097,697).

**Regarding claim 1**, Duault describes a method of processing digital media data stream (col. 1, line 31, "for example, voice traffic") comprising a stream of data elements (packets/cells), comprising:

(a) receiving the data stream (col. 2, lines 64-66, where data arrives at the apparatus");

(b) holding each data element that is received prior to an end of a time period in a buffer until the end of the time period, at which time the data element is released for playout (col. 2, lines 66-67 and col. 3, lines 1-3).

(d) adjusting a duration of the time period based upon the loss rate (abstract, where the playout rate (duration) is adjusted for each monitored time period of the jitter buffer).

Duault fails to describe:

(c) monitoring a loss rate at which data elements in the data stream are not received by the end of their respective time periods (fig. 2, period of

sequence of packet dP=17, and col. 4, lines 29-31, "Rate controller 116 computes two statistics for such a sequence of sent packets 200. the first is a loss rate,");

Yao describes:

(c) computing (monitoring) a loss rate at which data elements in the data stream are not received by the end of their respective time periods (fig. 2, period of sequence of packet dP=17, and col. 4, lines 29-31, "Rate controller 116 computes two statistics for such a sequence of sent packets 200. the first is a loss rate,");

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of the payout using the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 2**, Duault and Yao combined describe all limitations in claim 1. Duault fails to describe:

adjusting step (d) comprises increasing the duration of the time period if the loss rate is above a first threshold.

Yao describes:

adjusting step (d) comprises increasing the duration of the time period if the loss rate is above a first threshold (col. 5, lines 8-15, "On loss rate axis 310, a loss hysteresis threshold [LOSS\_HYST] 312 defines a range 314 between

LOSS\_HYST and 1.0. In this range, an excess loss rate contributes to a decrease in transmission rate" [i.e. increased duration of time period].)

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the particular adjustment steps as a function of the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 3**, Duault and Yao combined describe all limitations in claim 1. Duault fails to describe:

adjusting step (d) comprises setting the duration of the time period at a first value if the loss rate is relatively low, and setting the duration at a second value, greater than the first value, if the loss rate is relatively higher

Yao describes:

adjusting step (d) comprises setting the duration of the time period at a first value (col. 6, line 26, new transmission rate  $R_{\text{new}}$ ) if the loss rate is relatively low (col. 6, lines 23, "If the combined factor is negative, then the rate [R-new] is decrease", where the combined factor comprises two "loss rate" affecting (sub)-factors: "Based on the loss ratio and excess loss rate of a sequence of packets, rate controller 116 computes two factors, a span factor and a loss factor", col. 5, lines 41-43);

and setting the duration at a second value (col. 6, line 28, new transmission rate  $R_{\text{new}}$ ), greater than the first value (increased transmission

rate), if the loss rate is relatively higher (col. 6, lines 22-23, "If the combined factor is positive, then the rate [R-new] is increased", where the combined factor comprises two "loss rate" affecting (sub)-factors: "Based on the loss ratio and excess loss rate of a sequence of packets, rate controller 116 computes two factors, a span factor and a loss factor", col. 5, lines 41-43).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the particular adjustment steps as a function of the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 4**, Duault and Yao combined describe all limitations in claim 1. Duault fails to describe:

adjusting step (d) comprises decreasing the duration of the time period if the loss rate is relatively low, and increasing the duration if the loss rate is relatively higher.

Yao describes:

adjusting step (d) comprises decreasing the duration of the time period if the loss rate is relatively low, and increasing the duration if the loss rate is relatively higher (col. 5, lines 8-15, "On loss rate axis 310, a loss hysteresis threshold [LOSS\_HYST] 312 defines a range 314 between LOSS\_HYST and 1.0. In this range, an excess (high) loss rate contributes to a decrease in transmission rate [i.e. increased duration of time period]. The negative of the

loss hysteresis threshold [-LOSS\_HYST] (low loss rate) 316 defines a range 318 from -LOSS\_HYST to -1.0 in which the excess loss rate contributes to an increase in transmission rate [i.e. decreased duration of time period].")

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the particular adjustment steps as a function of the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 5**, Duault and Yao combined describe all limitations in claim 1. Duault fails to describe:

(d)(i) if the loss rate is lower than a first threshold (LOSS\_HYST), maintaining the duration of the time period at a present value, and

(d)(ii) if the loss rate is greater than the first threshold, increasing the duration of the time period by a first amount higher.

Yao describes the adjustment of step (d) comprises:

(d)(i) if the loss rate is lower than a first threshold (LOSS\_HYST), maintaining the duration of the time period at a present value (fig. 3, range between #316 and #312, where transmission rate is unchanged) and

(d)(ii) if the loss rate is greater than the first threshold, increasing the duration of the time period by a first amount higher (col. 5, lines 8-15, "On loss rate axis 310, a loss hysteresis threshold [LOSS\_HYST] 312 defines a range 314 between LOSS\_HYST and 1.0. In this range, an excess (high) loss rate

contributes to a decrease in transmission rate [i.e. increased duration of time period]").

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the particular adjustment steps as a function of the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 6**, Duault and Yao combined describe all limitations in claim 5. Duault and Yao further describe that step (d)(ii) comprises:

increasing the duration of the time period by a first amount that is substantially equivalent to a duration of the media represented by one data element (Duault, col. 4, lines 36-40, where the POB level is the buffer size and the increase of duration by a first amount is equivalent to the [extra] time in transmitting a byte of data [element]).

**Regarding claim 9**, Duault and Yao combined describe all limitations in claim 1. Duault fails to describe:

(d)(i) if the loss rate is lower than a first threshold, decreasing the duration of the time period;

(d)(ii) if the loss rate is greater than the first threshold but less than a second threshold, and

(d)(iii) if the loss rate is greater than the second threshold (LOSS\_HYST), increasing the duration of the time period.



Yao describes that step (d) comprises:

(d)(i) if the loss rate is lower than a first threshold (fig. 3, -LOSS\_HYST #316), decreasing the duration of the time period;

(d)(ii) if the loss rate is greater than the first threshold but less than a second threshold (fig. 3, LOSS\_HYST #312), maintaining the duration of the time period at a present value [fig. 3, between -LOSS\_HYST #316 and LOSS\_HYST #312]; and

(d)(iii) if the loss rate is greater than the second threshold (LOSS\_HYST), increasing the duration of the time period;

(col. 5, lines 8-15, "On loss rate axis 310, a loss hysteresis threshold [LOSS\_HYST] 312 defines a range 314 between LOSS\_HYST and 1.0. In this range, an excess (high) loss rate contributes to a decrease in transmission rate [i.e. increased duration of time period]. The negative of the loss hysteresis threshold [-LOSS\_HYST] (low loss rate) 316 defines a range 318 from -LOSS\_HYST to -1.0 in which the excess loss rate contributes to an increase in transmission rate [i.e. decreased duration of time period].")

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the particular adjustment steps as a function of the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 10**, Duault and Yao combined describe all limitations set forth in claim 1. Duault further describe that the data elements are frames of encoded data (col. 3, lines 53-58, where data are packetized in ATM and IP).

2. Claims 7 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Duault in view of Yao as applied to claim 5 above, and further in view of Chiussi (5,905,711).

**Regarding claim 7**, Duault and Yao combined describe all limitations in claim 5. Duault further describe the adjustment of step (d) comprises:

(d)(iii) if the loss rate is greater than a threshold, increasing the duration of the time period by a second amount that is greater than the first amount (col. 4, lines 36-40, where the POB level is the buffer size and the increase of duration by a second amount is equivalent to the [extra] time in transmitting 2+ bytes of data [element]).

Duault fails to describe:

a second threshold that is greater than the first threshold (abstract, where second threshold is a greater value than the first threshold).

Chiussi describe:

a second threshold that is greater than the first threshold (abstract, where second threshold is a greater value than the first threshold [to direct all data sources to reduce data transfer rate]).

It would have been obvious to one of ordinary skill in the art at the time or motivation to describe first and second thresholds to indicate the step increase of duration of time period in Duault and Yao.

The motivation for combining the teachings is that this is “a method and apparatus that achieves good performance by guaranteeing fairness and control on the buffer size and is simple to implement”, (col. 2, lines 12-14).

**Regarding claim 8**, Duault, Yao and Chiussi describe all limitations set forth in claim 7. Duault further describes step (d)(ii) comprises:

increasing the duration of the time period by a first amount that is substantially equivalent to a duration of the media represented by one data element and wherein step (d)(iii) comprises increasing the duration of the time period by a second amount that is substantially equivalent to twice the duration of the media represented by one data element (col. 4, lines 36-40, where the increase of duration by a second amount is equivalent to the proportional time [twice the duration] in transmitting 2 bytes of data [element]).

3. **Claim 11** is rejected under 35 U.S.C. 103(a) as being unpatentable over Duault in view of Yao as applied to claim 1 above, and further in view of Larson (4,569,042).

Duault, and Yao describe all limitations set forth in claim 1. Duault and Yao combined fail to describe:

the time period begins for each transmitted data element when the data element is sent by a transmitting end.

Larson describes:

the time period begins for each transmitted data element when the data element is sent by a transmitting end (col. 2, lines 25-28, where the originating transmit time is passed down the network [to be used by loss rate adjustments]).

It would have been obvious to one of ordinary skill in the art at the time of invention to use the originating transmit time in the loss rate adjustment method of Duault and Yao. The motivation being that such (intermediate) delay may be included in minimizing the overall delay of sensitive/real time transmission (Duault, col. 1, lines 28-35).

4. Claims 12-16 23-25 and 32-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Duault and further in view of Ho (US 6,810,377).

**Regarding claim 12**, Duault describes a method of (transmitted) digital media data stream comprising a stream of data elements (packets/cells), the method comprising a playout buffer (col. 2, lines 64-66).

Duault fails to describe:

(a) receiving, by an adaptive jitter buffer, a subsequent data element that follows the unreceived data element in the data stream (fig. 2, where packets 5 is a subsequent data element).

(b) estimating, by an adaptive jitter buffer, a parameter of the unreceived data element based on received subsequent data element.

Ho describes:

(a) receiving, by an adaptive jitter buffer, a subsequent data element that follows the unreceived data element in the data stream, and (b) estimating, by an adaptive jitter buffer, a parameter of the unreceived data element based on received subsequent data element (col. 3, lines 30-33 & col. 4, lines 40-42, receiving a subsequent frame (data element) following a missing (unreceived) frame), and interpolating (estimating) the parameter of the missing frame).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to describe estimating an unreceived data element based on the subsequent data element as per Ho for the teaching of Duault.

The motivation for combining the teaching is that it eliminates any unnatural sounding at the output (Ho, col. 3, lines 24-27).

**Regarding claim 13**, Duault and Ho combined describe that the receiving step (a) comprises receiving a plurality of subsequent data elements that follow the unreceived data frame (element) in the data stream and using Linear Predictive Coding (LPC) to estimate a parameter of the unreceived data frame based on a subsequent data element as per claim 12 (Ho, abstract), but fails to teach estimating the parameter of the unreceived data element based on the received subsequent data elements.

The examiner takes office notice that it is well-known in the art at the time of invention by applicant mathematically to linearly interpolate a missing data point (element) by using two subsequent data points in auto generating a chart/graph.

The motivation for using the two subsequent data points (elements) in generating a previous data point is so that a complete chart flow may be automatically generated even though initial datum/data may be missing.

**Regarding claim 14**, Duault fails to describe:

estimating a parameter of the unreceived data element based on the received subsequent data element and on a prior data element that precedes the unreceived data element in the data stream.

Ho describes:

estimating a parameter of the unreceived data element based on the received subsequent data element and on a prior data element that precedes the unreceived data element in the data stream (col. 3, lines 30-33 & col. 4, lines 40-42, receiving a previous and subsequent frame (data element) following a missing (unreceived) frame), and interpolating (estimating) the value (parameter) of the missing frame).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to describe estimating an unreceived data element based on the subsequent data element as per Ho for the teaching of Duault.

The motivation for combining the teaching is that it eliminates any unnatural sounding at the output (Ho, col. 3, lines 24-27).

**Regarding claim 15**, Duault further describes that the received data element are held in a buffer (col. 2, lines 64-66).

**Regarding claim 16**, Duault further describes step (c) of holding each data element that is received in a buffer until the end of the time period, at which

time the data element is released for playout (col. 2, lines 66-67 and col. 3, lines 1-3).

**Regarding claim 23**, Duault describes an apparatus for processing transmitted digital media data stream (col. 1, line 31, "for example, voice traffic") comprising a stream of data elements (fig. 3, "For each packet received from network" box), the apparatus comprising:

a playout buffer (col. 2, lines 64-66) (adaptive jitter buffer) to receive a transmitted digital data stream (col. 2, lines 64-66, where data arrives at the apparatus") and to hold each received data element until an end of a time period, at which time the data element is released for playout (col. 2, lines 66-67 and col. 3, lines 1-3);

Duault fails to describe:

a lost data element recovery mechanism adapted to estimate a parameter of an unreceived data element based on a received subsequent data element that follows the unreceived data element in the data stream.

Ho describes:

a lost data element recovery mechanism adapted to estimate parameter of an unreceived data element based on a received subsequent data element that follows the unreceived data element in the data stream (col. 4, lines 45-60).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to describe estimating an unreceived data element based on a prior data element and a subsequent data element as per Ho for the teaching of Duault.

The motivation for combining the teaching is that it eliminates any unnatural sounding at the output (Ho, col. 3, lines 24-27).

**Regarding claim 24**, Duault and Ho combined describe all limitation set forth in claim 23. Duault and Ho combined describes:

the lost data element recovery mechanism is adapted to receive a plurality of subsequent data elements that follow the unreceived data frame (element) in the data stream and using Linear Predictive Coding (LPC) to estimate a parameter of the unreceived data frame based on a subsequent data element as per claim 12 (Ho, abstract), but fails to teach estimating the parameter of the unreceived data element based on the received plurality of subsequent data elements.

The examiner takes office notice that it is well-known in the art at the time of invention by applicant mathematically to linearly interpolate a missing data point (element) by using two subsequent data points in auto generating a chart/graph.

The motivation for using the two subsequent data points (elements) in generating a previous data point is so that a complete chart flow may be automatically generated even though initial datum/data may be missing.

**Regarding claim 25**, Duault and Ho combined describe all limitations set forth in claim 23. Duault fails to describe:

the lost data element recovery mechanism is adapted to estimate a parameter of the unreceived data element on the received subsequent data



element and on a prior data element that precedes the unreceived data element in the data stream.

Ho describes:

the lost data element recovery mechanism is adapted to estimate a parameter of the unreceived data element on the received subsequent data element and on a prior data element that precedes the unreceived data element in the data stream (col. 4, lines 45-60).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to describe estimating an unreceived data element based on a prior data element and a subsequent data element as per Ho for the teaching of Duault.

The motivation for combining the teaching is that it eliminates any unnatural sounding at the output (Ho, col. 3, lines 24-27).

**Regarding claim 32**, Duault and Ho combined describe all limitations set forth in claim 23. Duault further describes:

the media data stream is an encoded audio data stream (col. 1, line 31, "for example, voice traffic") comprising a plurality of audio data elements, each representing a portion of a transmitted audio session (fig. 3, "For each packet received from network" box).

**Regarding claim 33**, Duault and Ho combined describe all limitations set forth in claim 23. Duault further describe that the data elements are frames of encoded data (col. 3, lines 53-58, where data are packetized in ATM and IP).

5. Claim 17-20, 22, 26-29 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Duault in view of Ho as applied to claim 16 above, and further in view of Yao.

**Regarding claim 17**, Duault and Ho combined fail to describe:

(d) monitoring a loss rate at which data elements in the data stream are not received by the end of their respective time periods;

(e) adjusting a duration of the time period based upon the loss rate.

Yao describes:

(d) computing (monitoring) a loss rate at which data elements in the data stream are not received by the end of their respective time periods (fig. 2, period of sequence of packet dP=17, and col. 4, lines 29-31, "Rate controller 116 computes two statistics for such a sequence of sent packets 200. the first is a loss rate,");

(e) adjusting a duration of the time period (transmission rate) based upon the loss rate (col. 4, lines 59-61, "a rate controller computes an excess loss rate,  $L-L[0]$  and a loss ratio  $1-L[s]$  in order to adjust the transmission rate").

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of the playout using the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 18**, Duault, Ho and Yao combined describe all limitations in claim 17. Duault fails to describe:

adjusting step (e) comprises increasing the duration of the time period if the loss rate is above a first threshold.

Yao describes:

adjusting step (e) comprises increasing the duration of the time period if the loss rate is above LOSS\_HYST (first threshold) (col. 5, lines 8-15, "On loss rate axis 310, a loss hysteresis threshold [LOSS\_HYST] 312 defines a range 314 between LOSS\_HYST and 1.0. In this range, an excess loss rate contributes to a decrease in transmission rate" [i.e. increased duration of time period].)

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of the payout using the loss rate as in Yao for the teaching of Duault.

The motivation for combining the teaching is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 19**, Duault, Ho and Yao combined describe all limitations in claim 18. Duault further describes adjusting step (e) comprises:

increasing the duration of the time period by an amount that is substantially equivalent to a duration of the media represented by one data element if the loss rate is greater than the first threshold (col. 4, lines 36-40, where the POB level is the buffer size and the increase of duration by a first amount is equivalent to the [extra] time in transmitting a byte of data [element]).

**Regarding claim 20**, Duault, Ho and Yao combined describe all limitations in claim 18.

Duault fails to describe: decreasing the duration of the time period if the loss rate is below than a second threshold.

Yao describes adjusting step (e) comprises:

decreasing the duration of the time period if the loss rate is below than a second threshold (fig. 3, -LOSS\_HYST #316) that is lower than the first threshold (LOSS\_HYST) (fig. 3, where -#316 is lower than #312).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of the payout using a second threshold as in Yao for the teaching of Duault.

The motivation for combining the teaching is that “The [loss rate] statistics provide indications of congestion of the data network” (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 22**, Duault, Ho and Yao combined describe all limitations in claim 12. Duault further describe that the data elements are frames of encoded data (col. 3, lines 53-58, where data are packetized in ATM and IP).

**Regarding claim 26**, Duault and Ho combined describe all limitations set forth in claim 23. Duault fails to describe:

a controller monitoring a loss rate at which data elements in the data stream are not received by the end of their respective time periods.

Yao describes:

[a controller (Duault, fig. 2, Control Logic #240)] computing (monitoring) a loss rate at which data elements in the data stream are not received by the end of their respective time periods (fig. 2, period of sequence of packet  $dP=17$ , and col. 4, lines 29-31, "Rate controller 116 computes two statistics for such a sequence of sent packets 200. the first is a loss rate,") and to adjust a duration of the time period (transmission rate) based upon the loss rate (col. 4, lines 59-61, "a rate controller computes an excess loss rate,  $L-L[0]$  and a loss ratio  $1-L[s]$  in order to adjust the transmission rate").

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of hold period before playout using the loss rate (unreceived data element) as per Yao for the combined teachings of Duault and Ho.

The motivation for combining the teachings is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 27**, Duault, Ho and Yao and combined describe all limitations in claim 26.

Duault describes: the controller is adapted to increase the duration of the time period if the loss rate is above a first threshold.

Yao describes:

the controller is adapted to increase the duration of the time period if the loss rate is above  $LOSS\_HYST$  (first threshold) (col. 5, lines 8-15, "On loss rate axis 310, a loss hysteresis threshold [ $LOSS\_HYST$ ] 312 defines a range 314

between LOSS\_HYST and 1.0. In this range, an excess loss rate contributes to a decrease in transmission rate" [i.e. increased duration of time period].)

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of hold period before playout using the loss rate (unreceived data element) as per Yao for the combined teachings of Duault and Ho.

The motivation for combining the teachings is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 28**, Duault, Ho and Yao combined describe all limitations in claim 27.

Duault fails to describe:

the controller is adapted to increase the duration of the time period by an amount that is substantially equivalent to a duration of the media represented by one data element if the loss rate is greater than the first threshold.

Yao describes:

the controller is adapted to increase the duration of the time period by an amount that is substantially equivalent to a duration of the media represented by one data element if the loss rate is greater than the first threshold (col. 4, lines 36-40, where the POB level is the buffer size and the increase of duration by a first amount is equivalent to the [extra] time in transmitting a byte of data [element]).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of hold period before payout using the loss rate (unreceived data element) as per Yao for the combined teachings of Duault and Ho.

The motivation for combining the teachings is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 29**, Duault, Ho and Yao combined describe all limitations in claim 27. Duault fails to describe:

the controller is adapted to decrease the duration of the time period if the loss rate is below than a second threshold.

Yao describes:

the controller is adapted to decrease the duration of the time period if the loss rate is below than a second threshold (fig. 3, -LOSS\_HYST #316) that is lower than the first threshold (LOSS\_HYST) (fig. 3, where -#316 is lower than #312).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate the monitoring and the adjustment of hold period before payout using the loss rate (unreceived data element) as per Yao for the combined teachings of Duault and Ho.

The motivation for combining the teachings is that "The [loss rate] statistics provide indications of congestion of the data network" (Yao, col. 2, lines 56-57), and the statistic may be used to minimize such network congestion.

**Regarding claim 31**, Duault and Ho combined describe all limitations in claim 23. Duault fails to describe:

a decoder adapted to receive data elements from the Jitter buffer and to decode the data elements to produce decoded data elements representing media samples.

Yao describes:

a decoder (network node #110B) adapted to receive data elements from the Jitter buffer (fig. 1, intermediate node #104) and to decode the data elements to produce decoded data elements representing media samples (fig. 1, where application layer #112 receiving decoded packets/elements from (lower) transport & network layers #118 and #120).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to incorporate a decoder to decode data outputs from a jitter buffer (intermediate network node) as per Yao for the combined teachings of Duault and Ho.

The motivation for combining the teachings is that the data is transported from one network node to another via the standardized ISO protocol stack, requiring a layered approach to transmission of data (coding & decoding).

6. **Claim 21** is rejected under 35 U.S.C. 103(a) as being unpatentable over Duault in view of Ho and Yao as applied to claim 17 above, and further in view of Larson (4,509,042).



Duault, Ho and Yao combined describe all limitations in claim 17. Duault fails to describe: the time period begins for each transmitted data element when the data element is sent by a transmitting end.

Larson describes: the time period begins for each transmitted data element when the data element is sent by a transmitting end (col. 2, lines 24-27).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to use transmitting start time (timestamp) in monitoring loss rate time periods as in Larson for the combined teachings of Duault, Ho and Yao.

The motivation combining the teachings is that end-to-end delays (using transmitting start time) are critical in calculating (loss rate) parameters for time-sensitive transmission such as voice communication (Larson, col. 1, lines 21-27).

7. **Claim 30** is rejected under 35 U.S.C. 103(a) as being unpatentable over Duault in view of Ho and Yao as applied to claim 26 above, and further in view of Larson.

Duault, Ho and Yao combined describe all limitations in claim 26. Duault fails to describe:

The time period begins for each transmitted data element when the data element is sent by a transmitting end.

Larson describes:

The time period begins for each transmitted data element when the data element is sent by a transmitting end (col. 2, lines 24-27).

It would have been obvious to one with ordinary skill in the art at the time of invention by applicant to use transmitting start time (timestamp) in monitoring loss rate time periods.

The motivation for combining the teachings is that end-to-end delays (using transmitting start time) are critical in calculating (loss rate) parameters for time-sensitive transmission such as voice communication (Larson, col. 1, lines 21-27).

### ***Response to Arguments***

8. Applicant's arguments filed June 13, 2006 regarding claim 1 have been fully considered but they are not persuasive.

On. p. 8, lines 13-15, the applicant argues that claim 1 describes an adjusting a time period at the end of which the data elements held in operation [inside jitter buffer] are released for playout, and that the Duault reference fails to teach this. The examiner respectfully disagrees.

The Duault reference of "Adaptive playout buffer and method for improved data communication" specifically deals with jitter variance of incoming data using a POB (playout buffer) by adjusting a time period of data elements held in operation at the buffer for a data playout.

Applicant's arguments with respect to claims 12 and 23 have been considered but are moot in view of the new ground(s) of rejection.

**Conclusion**

9. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: Bialik (US 6,389,006), DeMartin (6,775,649), Prieto (US 5,907,822) and Partalo (US 6,549,886).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Warner Wong whose telephone number is 571-272-8197. The examiner can normally be reached on 6:30AM - 3:00PM, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Ngo can be reached on 571-272-3139. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Warner Wong  
Examiner  
Art Unit 2616

WW

  
RICKY Q. NGO  
ADVISORY PATENT EXAMINER